

Form PTO-1449

Docket Number 546322000321

Application Number 09/646,807

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Michael Wayne GRAHAM and Robert Norman RICE

Filing Date December 5, 2000

Group Art Unit 1636 1671

Mailing Date

November 16, 2004

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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
BW	1.	03/02/1993	US 5,190,931	Inouye			
BW	2.	05/04/1993	US 5,208,149	Inouye			
BW	3.	12/21/1993	US 5,272,065	Inouye et al.			
BW	4.	09/04/2003	US 2003/0165894 A1	Waterhouse et al.			

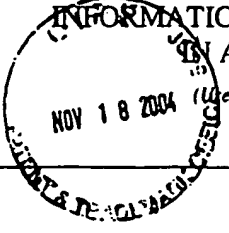
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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
BW	5.	02/01/2001	AU 729454	Australia			
	6.	06/14/1995	EP 0465572B1	EP			
	7.	11/12/1992	WO 92/19732	WO			
	8.	01/20/1994	WO 94/01550	WO			
	9.	08/31/1995	WO 95/23225	WO			
	10.	11/27/1997	WO 97/44460	WO			
	11.	11/26/1998	WO 98/53083	WO			
	12.	09/30/1999	WO 99/49029A1	WO			
	13.	12/02/1999	WO 99/61631	WO			
	14.	08/03/2000	WO 00/44895	WO			
	15.	08/03/2000	WO 00/44914	WO			
	16.	09/27/2001	WO 01/70949	WO			
	17.	03/20/2003	WO 03/022052	WO			
	18.	04/03/2003	WO 03/027298	WO			
BW	19.	07/10/2003	WO 03/056012	WO			

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<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary) 	Docket Number <b>546322000321</b>	Application Number <b>09/646,807</b>
	Applicant <b>Michael Wayne GRAHAM and Robert Norman RICE</b>	
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	Mailing Date <b>November 16, 2004</b>	

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(including author, title, Date, Pertinent Pages, Etc.)

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Michael Wayne GRAHAM and Robert Norman RICE

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Group Art Unit 1636

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BW

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Form PTO-1449 <div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: 150px; float: left; margin-right: 10px;">           NOV 18 2004            PATENT &amp; TRADEMARK OFFICE         </div> <div style="clear: both;"></div> <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b></p> <p style="text-align: center;">(Use several sheets if necessary)</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Docket Number <b>546322000321</b></td> <td style="width: 50%;">Application Number <b>09/646,807</b></td> </tr> <tr> <td colspan="2">Applicant <b>Michael Wayne GRAHAM and Robert Norman RICE</b></td> </tr> <tr> <td>Filing Date <b>December 5, 2000</b></td> <td>Group Art Unit <b>1636</b></td> </tr> <tr> <td colspan="2">Mailing Date <b>November 18, 2004</b></td> </tr> </table>		Docket Number <b>546322000321</b>	Application Number <b>09/646,807</b>	Applicant <b>Michael Wayne GRAHAM and Robert Norman RICE</b>		Filing Date <b>December 5, 2000</b>	Group Art Unit <b>1636</b>	Mailing Date <b>November 18, 2004</b>	
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PTO/SB/08 (2-92)

Sheet 6 of 6

Form PTO-1449

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BW	95.	Sinha (1997) "2 Large-Scale Synthesis: Approaches to Large-Scale Synthesis of Oligodeoxynucleotides and their Analogs" <u>Antisense From Technology to Therapy Lab Manual and Textbook</u> 6: 30-58.
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EXAMINER: /Brian Whiteman/

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06/20/2006

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ALTERNATIVE TO PTO/SB/08a/b (06-03)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/646,807
				Filing Date	December 5, 2000
				First Named Inventor	Michael W. GRAHAM
				Art Unit	1836-1635
				Examiner Name	D. Sullivan
Sheet	1	of	1	Attorney Docket Number	546322000321

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
BW	AA	US 4,766,072	08-23-1988	Jendrisak et al.	
	AB	US 2003/0056235 A1 with amendments	03-20-2003	Fire et al.	
	AC	US 2004/0064842 A1	04-01-2004	Graham et al.	
	AD	US 2004/0237145 A1	11-25-2004	Graham et al.	
	AE	US 2003/0159161 A1	08-21-2003	Graham et al.	
	AF	US 2004/0180439 A1	09-16-2004	Graham et al.	
BW	AG	US 2004/0266005 A1	12-30-2004	Graham et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
BW	BA	WO 97/44450	11-27-1997			

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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Examiner Signature	/Brian Whiteman/	Date Considered	06/20/2006
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ALTERNATIVE TO PTO/SB/08a/b (06-03)

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	09/646,807
				Filing Date	December 5, 2000
				First Named Inventor	Michael W. GRAHAM
				Art Unit	1636 167
				Examiner Name	<del>D. Sullivan</del>
Sheet	1	of	1	Attorney Docket Number	546322000321

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
BW	AA	US 5,578,716	11-26-1996	Szyf et al.	
BW	AB	US 5,998,383	12-07-1999	Wright et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
BW	BA	WO 95/15378	06-08-1995			

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
BW	CA	Agrawal et al. (2000) "Antisense therapeutics: is it as simple as complementary base recognition?" Molecular Medicine Today 6: 72-81.				
	CB	Cameron et al. (1994) "Multiple Domains in a Ribozyme Construct Confer Increased Suppressive Activity in Monkey Cells" Antisense Research and Development 4: 87-94.				
	CC	Harborth et al. (2003) "Sequence, Chemical, and Structural Variation of Small Interfering RNAs and SHort Hairpin RNAs and the Effect on Mammalian Gene Silencing" Antisense and Nucleic Acid Drug Development 13: 83-105.				
	CD	Holen et al. (2002) "Positional effects of short interfering RNAs targeting the human coagulation trigger Tissue Factor" Nucleic Acids Research 30 (8): 1757-1766.				
	CE	Jen et al. (2000) "Suppression of Gene Expression by Targeted Disruption of Messenger RNA: Available Options and Current Strategies" Stem Cells 18: 307-319.				
	CF	McManus et al. (2002) "Gene Silencing using micro-RNA designed hairpins" RNA 8: 842-850.				
	CG	McManus et al. (2002) "Small Interfering RNA-Mediated Gene Silencing in T Lymphocytes" Journal of Immunology 169: 5754-5760.				
	CH	Opalinska et al. (2002) "Nucleic-Acid Therapeutics: Basic Principles and Recent Applications" Nature Reviews 1: 503-514.				
BW	CI	Randall et al. (2003) "Clearance of replicating hepatitis C virus replicon RNAs in cell culture by small interfering RNAs" PNAS 100 (1): 235-240.				

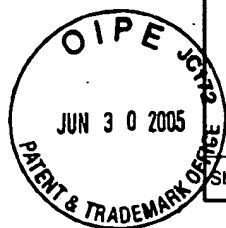
\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Brian Whiteman/	Date Considered	06/20/2006
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sf-1876554





Substitute for form 1449/PTO		<b>Complete If Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Application Number	09/646,807		
		Filing Date	December 5, 2000		
		First Named Inventor	Michael W. GRAHAM		
		Art Unit	1636 1635		
		Examiner Name	D. Sullivan		
Sheet	1	of	1	Attorney Docket Number	546322000321

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> -Number-Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
BW	1.	WO-99/09045	02-25-1999	Somagenics, Inc.		

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	2	<del>European Search Report mailed June 3, 2005, for European patent application no. 04015041, filed March 19, 1999, 4 pages</del>	
BW	3.	BASS, Brenda L. (May 24, 2001) "RNA Interference: The Short Answer," Nature, 411:428-429	
BW	4.	HARBORTH, Jens et al. (2001) "Identification of Essential Genes in Cultured Mammalian Cells Using Small Interfering RNAs," Journal of Cell Science, 114:4557-4565	
BW	5.	MANCHE, Lisa et al. (Nov. 1992) "Interactions Between Double-Stranded RNA Regulators and the Protein Kinase DAI," Molecular and Cellular Biology, 12(11):5238-5248	
BW	6.	PADDISON, Patrick J. et al. (July 2002) "RNA Interference: The New Somatic Cell Genetics?" Cancer Cell, 2:17-23	

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Brian Whiteman/	Date Considered	06/20/2006
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sf-1956070



PTO/SB/08a (07-05)  
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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	09/646,807
		Filing Date	December 5, 2000
		First Named Inventor	Michael W. Graham
		Art Unit	1635
		Examiner Name	Sean McGarry Whitman
		Attorney Docket Number	BENI/0022.P1
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BW	C1	Stam et al. (1997) "Post-transcriptional silencing of chalcone synthase in <i>Petunia</i> by inverted transgene repeats" The Plant Journal 12(1): 63-82	
	C2	<del>Australian Written Opinion for SG200205122-5, dated October 24, 2005 (BENI/0022-00)</del>	

Examiner Signature	/Brian Whiteman/	Date Considered	06/20/2006
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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